

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	IA-2022-009-00
Temporary Application Number	1812-2022-2
Application Name	Iowa DOT Section 5311 ARPA Funding
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/20/2028
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, IOWA DEPT OF

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1812	State Agency	IOWA DEPARTMENT OF TRANSPORTATION	120527275

Location Type	Address	City	State	Zip
Headquarters	800 LINCOLN WAY	AMES	IA	50010
Physical Address	800 LINCOLN WAY	AMES	IA	50010
Mailing Address	800 LINCOLN WAY	AMES	IA	50010

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Iowa DOT Section 5311 ARPA Funding

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
IA-2022-009-00	Final Concurrence / Reservation	Grant	11/12/2021	11/12/2021	No

Application Executive Summary

PURPOSE:

This application utilizes the FY 2021 Section 5311 American Rescue Plan Act (ARPA) funding to help respond to and recover from the COVID-19 emergency situation, in the amount of \$6,499,726.

FUNDING:

FUNDING SUMMARY for Project 1 (operating costs for rural areas):

This grant includes the following funding:

GRAND TOTAL FEDERAL \$6,499,726

LOCAL FUNDS \$0

TOTAL PROJECT COST: \$6,499,726

ACTIVITIES TO BE PERFORMED: The application scope of work includes operating assistance for eligible 5311 subrecipients.

EXPECTED OUTCOMES: The expected outcome is efficient and timely operating of transportation services in the rural areas across Iowa.

INTENDED BENEFICIARIES: The intended beneficiaries are citizens across rural counties in the state of Iowa.

SUBRECIPIENT ACTIVITIES: The application scope of work includes operating assistance for eligible 5311 subrecipients.

Subrecipients in this application cover sixteen (16) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

Per ARPA, this grant requests 100% (up to 100%) federal share. The application scope of work includes operating assistance for eligible 5311 subrecipients. Subrecipients in this application cover sixteen (16) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

The Iowa DOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the ARPA, the project(s) in this application is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program. This project(s) includes only operations and payroll projects. A POP and budget is included with this application.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jeremiah	Shuler	Transportation Program Specialist	jeremiah.shuler@dot.gov	(816) 329-3940
Sree	Mitra	Program Manager	sreeparna.mitra@iowadot.us	515-239-1806

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$6,499,726
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,499,726

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IA-2022-009-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$6,499,726.00	\$0.00	\$6,499,726.00	24
IA-2022-009-01-00	30.09.08 ARPA - OPERATING ASSIST - 100% Fed Share	\$6,499,726.00	\$0.00	\$6,499,726.00	24

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Iowa DOT Section 5311 ARPA Formula Operating Projects

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IA-2022-009-01-00	1812-2022-2-P1	11/12/2021	7/1/2021	6/30/2028

Project Description

This is an Section 5311 ARPA application in the amount of \$6,499,726

The application scope of work includes operating assistance for eligible 5311 subrecipients.

Subrecipients in this application cover sixteen (16) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

Per ARPA regulations this grant requests 100% federal share. This application utilizes APRA funding to prevent, respond, and recover from the COVID-19 emergency situation.

Also per ARPA guidance, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is required for the projects included in this application.

Project Benefits

This application utilizes ARPA funding to help respond to and recover from the COVID-19 emergency situation and continue to provide transportation services to the citizens residing in rural areas of Iowa.

Additional Information

None provided.

Location Description

These projects will serve citizens across all counties in Iowa

Project Location (Urbanized Areas)

UZA Code	Area Name
190000	Iowa

Congressional District Information

State	District	Representative
Iowa	1	Ashley Hinson
Iowa	2	Mariannette Miller-Meeks
Iowa	3	Cynthia Axne
Iowa	4	Randy Feenstra

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$6,499,726
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,499,726

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IA-2022-009-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$6,499,726.00	\$0.00	\$6,499,726.00	24
IA-2022-009-01-00	30.09.08 ARPA - OPERATING ASSIST - 100% Fed Share	\$6,499,726.00	\$0.00	\$6,499,726.00	24

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - ARPA - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	24

Extended Budget Description

This line includes operating assistance for total of 24 subrecipients-- covering sixteen (16) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area. Operating funds are expected to cover expenses for existing service incurred from the beginning 7/1/2021 until 6/30/2028, including the recovery of the operational deficits, maintaining service and continuing to provide essential medical transportation services, hiring of additional staff to clean/sanitize vehicles, the general operating costs of maintaining and transit facility and fleet.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$6,499,726
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,499,726

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	
End Date	6/30/2028	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	2/2/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	ARPA - OPERATING ASSIST - 100% Fed Share	24	\$6,499,726.00	\$6,499,726.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Jeremiah Shuler

Comment Type DOL Review for Information

Date 2/18/2022

Comment Application Executive Summary
PURPOSE:
This application utilizes the FY 2021 Section 5311 American Rescue Plan Act (ARPA) funding to help respond to and recover from the COVID-19 emergency situation, in the

amount of \$6,499,726.

FUNDING:

FUNDING SUMMARY for Project 1 (operating costs for rural areas):

This grant includes the following funding:

GRAND TOTAL FEDERAL \$6,499,726

LOCAL FUNDS \$0

TOTAL PROJECT COST: \$6,499,726

ACTIVITIES TO BE PERFORMED: The application scope of work includes operating assistance for eligible 5311 subrecipients.

EXPECTED OUTCOMES: The expected outcome is efficient and timely operating of transportation services in the rural areas across Iowa.

INTENDED BENEFICIARIES: The intended beneficiaries are citizens across rural counties in the state of Iowa.

SUBRECIPIENT ACTIVITIES: The application scope of work includes operating assistance for eligible 5311 subrecipients.

Subrecipients in this application cover sixteen (16) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

Per ARPA, this grant requests 100% (up to 100%) federal share. The application scope of work includes operating assistance for eligible 5311 subrecipients. Subrecipients in this application cover sixteen (16) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

The Iowa DOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the ARPA, the project(s) in this application is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program. This project(s) includes only operations and payroll projects. A POP and budget is included with this application.

Application Review Comments

Comment By Jeremiah Shuler

Comment Type	Pre-Award Manager Returns Application
--------------	---------------------------------------

Date	1/20/2022
------	-----------

Comment	Address comments
---------	------------------

Application Review Comments

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	2/2/2022
Project Title	Iowa DOT Section 5311 ARPA Formula Operating Projects
Project Number	IA-2022-009-01-00
Comment	This application, which utilizes ARP Act funding to assist and reimburse the operating costs associated with the response and recovery from the COVID-19 pandemic, is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Part 6: Agreement

UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT (FTA G-29, October 1, 2021)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, IOWA DEPT OF

Recipient ID: 1812

DUNS No: 120527275

Application Information

Federal Award Identification Number: IA-2022-009-00

Application Name: Iowa DOT Section 5311 ARPA Funding

Application Start Date: N/A

Original Award End Date: 9/20/2028

Current Award End Date: N/A

Application Executive Summary: PURPOSE:

This application utilizes the FY 2021 Section 5311 American Rescue Plan Act (ARPA) funding to help respond to and recover from the COVID-19 emergency situation, in the amount of \$6,499,726.

FUNDING:

FUNDING SUMMARY for Project 1 (operating costs for rural areas):

This grant includes the following funding:

GRAND TOTAL FEDERAL \$6,499,726

LOCAL FUNDS \$0

TOTAL PROJECT COST: \$6,499,726

ACTIVITIES TO BE PERFORMED: The application scope of work includes operating assistance for eligible 5311 subrecipients.

EXPECTED OUTCOMES: The expected outcome is efficient and timely operating of transportation services in the rural areas across Iowa.

INTENDED BENEFICIARIES: The intended beneficiaries are citizens across rural counties in the state of Iowa.

SUBRECIPIENT ACTIVITIES: The application scope of work includes operating assistance for eligible 5311 subrecipients.

Subrecipients in this application cover sixteen (16) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

Per ARPA, this grant requests 100% (up to 100%) federal share. The application scope of work includes operating assistance for eligible 5311 subrecipients. Subrecipients in this application cover sixteen (16) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

The Iowa DOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the ARPA, the project(s) in this application is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program. This project(s) includes only operations and payroll projects. A POP and budget is included with this application.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$6,499,726.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$6,499,726.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$6,499,726.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$6,499,726
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,499,726

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, IOWA DEPT OF